

04-13-01

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**UTILITY PATENT  
APPLICATION  
TRANSMITTAL**
*(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*
*Title of Invention*

 Methods and Compositions to Increase Plasma HDL  
Levels

*Named Inventor(s)*

 JayRaz Luchoomun, *et al.*
*Attorney Docket*

ATH 113 US

*Express Mail Label No.*
**EK906952636US**
**APPLICATION ELEMENTS**
**ADDRESS TO:** Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages 103
4. ☒ Drawings Total Sheets 5
5. Oath or Declaration Total Pages 108
  - a. ☒ Unexecuted (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17  
completed)*
    - (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76.
7. ☐ CD-ROM or CD-R in duplicate, large table or
8. Nucleotide and/or Amino Acid Sequence  
Submission *(if applicable, all necessary)*
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
*(when there is an assignee)*
☐ Power of Attorney by assignee
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)  
PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Check \$ 1107.00  
postcard receipt

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

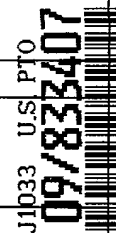
Prior application information: Examiner:

Group/Art Unit:

 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS:**

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04/11/01

**FEE TRANSMITTAL**Attorney Docket No. ATH 113 US

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): JayRaz Luchoomun, et al.  
Filing Date: April 11, 2001  
Title: Methods and Compositions to Increase Plasma HDL Levels

The filing fee is calculated as shown below:

1. **FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355	\$355	\$710	\$
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$ 355.00		\$

2. **CLAIMS:**

FOR:	SMALL ENTITY				LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	68-20 =	48	x \$9 =	\$ 432.00	x \$18 =	
INDEP. CLAIMS	11-3 =	8	x \$40 =	\$ 320.00	x \$80 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+\$135 =		+\$270 =	
SUBTOTAL (2)				\$ 752.00		\$

3. **ADDITIONAL FEES:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)				

**TOTAL FILING FEES:** \$ \$1107.00A check is enclosed for the total amount: \$ \$ 1107.00☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.

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Date: April 11, 2001